# **Refine Search**

## Search Results -

Terms	Documents
L11 and (JUMP or JMP)	25

US Pre-Grant Publication Full-Text Database

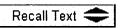
## US Patents Full-Text Database

Database:

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## **Search History**

DATE: Monday, March 14, 2005 Printable Copy Create Case

<u>Set Nam</u>	<u>e Query</u>	Hit Count	Set Name
side by sid	le		result set
DB=U	SPT; PLUR=NO; OP=OR		
<u>L12</u>	L11 and (JUMP or JMP)	25	<u>L12</u>
<u>L11</u>	L10 and 19	204	<u>L11</u>
<u>L10</u>	L8 AND 12	204	<u>L10</u>
<u>L9</u>	L8 AND 13	269	<u>L9</u>
<u>L8</u>	717/\$\$\$.ccls OR 713/\$\$\$.ccls.	13568	<u>L8</u>
<u>L7</u>	L6 AND 15	0	<u>L7</u>
<u>L6</u>	L4 AND monitor.ab.	15	<u>L6</u>
<u>L5</u>	L4 AND security.ab.	15	<u>L5</u>
<u>L4</u>	L3 and ((byte ADJ code ) OR (bytecode))	525	<u>L4</u>
<u>L3</u>	java and monitor	4280	<u>L3</u>
<u>L2</u>	java and security and monitor	1831	<u>L2</u>
<u>L1</u>	java near security near monitor	0	<u>L1</u>

**END OF SEARCH HISTORY** 

## **Hit List**



## Search Results - Record(s) 1 through 25 of 25 returned.

☐ 1. Document ID: US 6668325 B1

L12: Entry 1 of 25

File: USPT

Dec 23, 2003

US-PAT-NO: 6668325

DOCUMENT-IDENTIFIER: US 6668325 B1

\*\* See image for Certificate of Correction \*\*

TITLE: Obfuscation techniques for enhancing software security

DATE-ISSUED: December 23, 2003

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY
Collberg; Christian Sven Auckland NZ
Thomborson; Clark David Auckland NZ

Low; Douglas Wai Kok Auckland NZ

US-CL-CURRENT: 713/194; 713/200

## ABSTRACT:

The present invention provides obfuscation techniques for enhancing software security. In one embodiment, a method for obfuscation techniques for enhancing software security includes selecting a subset of code (e.g., compiled source code of an application) to obfuscate, and obfuscating the selected subset of the code. The obfuscating includes applying an obfuscating transformation to the selected subset of the code. The transformed code can be weakly equivalent to the untransformed code. The applied transformation can be selected based on a desired level of security (e.g., resistance to reverse engineering). The applied transformation can include a control transformation that can be creating using opaque constructs, which can be constructed using aliasing and concurrency techniques. Accordingly, the code can be obfuscated for enhanced software security based on a desired level of obfuscation (e.g., based on a desired potency, resilience, and cost).

171 Claims, 55 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 27

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC	Draw, De

☐ 2. Document ID: US 6643775 B1

Record List Display

L12: Entry 2 of 25

File: USPT

Nov 4, 2003

US-PAT-NO: 6643775

DOCUMENT-IDENTIFIER: US 6643775 B1

TITLE: Use of code obfuscation to inhibit generation of non-use-restricted versions of copy protected software applications

DATE-ISSUED: November 4, 2003

INVENTOR-INFORMATION:

NAME

CITY

STATE ZIP CODE

COUNTRY

Granger; Mark J.

Azusa

CA

Smith; Cyrus E.

Monrovia

CA

Hoffman; Matthew I.

South Pasadena

CA

US-CL-CURRENT: 713/190; 380/255, 380/268, 713/201

#### ABSTRACT:

Three methods are disclosed for protecting software applications from unauthorized distribution and use (piracy). The first method involves using values generated by a conventional ESD (Electronic Security Device) to encrypt and/or decrypt user data (such as a file) that is generated and used by the application. In a preferred embodiment, the user data is encrypted (such as during a write to memory) using values returned by the ESD, and the user data is later decrypted using like values returned by a software-implemented ESD simulator. The second and third methods involve the use of special development tools that make the task of analyzing the application's copy protection code (such as the code used to encrypt and/or decrypt user data) significantly more difficult. Specifically, the second method involves using pseudocode to implement some or all of the application's copy protection functions. The pseudocode for a given function is generated (preferably in encrypted form) from actual code using a special development tool, and is then imbedded within the application together with a corresponding pseudocode interpreter. The interpreter fetches, decrypts and executes the pseudocode when the function is called. Because no disassemblers or other development tools exist for analyzing the pseudocode, the task of analyzing the copy protection functions becomes significantly more complex. The third method involves the use of a special obfuscation tool to convert the code for selected copy-protection functions into unnecessarily long, inefficient sequences of machine code. In one implementation of the obfuscation tool, the developer can control the quantity of code that is generated by specifying one or more control parameters. The three methods can also be used to protect software license management systems from security attacks.

48 Claims, 14 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 11

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC	Drawt De

☐ 3. Document ID: US 6480959 B1

L12: Entry 3 of 25

File: USPT

Nov 12, 2002

Record List Display Page 3 of 21

US-PAT-NO: 6480959

DOCUMENT-IDENTIFIER: US 6480959 B1

TITLE: Software system and associated methods for controlling the use of computer

programs

DATE-ISSUED: November 12, 2002

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Granger; Mark J. Azusa CA
Smith; Cyrus E. Monrovia CA
Hoffman; Matthew I. South Pasadena CA

US-CL-CURRENT: 713/189; 713/200

## ABSTRACT:

Three methods are disclosed for protecting software applications from unauthorized distribution and use (piracy). The first method involves using values generated by a conventional ESD (Electronic Security Device) to encrypt and/or decrypt user data (such as a file) that is generated and used by the application. In a preferred embodiment, the user data is encrypted (such as during a write to memory) using values returned by the ESD, and the user data is later decrypted using like values returned by a software-implemented ESD simulator. The second and third methods involve the use of special development tools that make the task of analyzing the application's copy protection code (such as the code used to encrypt and/or decrypt user data) significantly more difficult. Specifically, the second method involves using pseudocode to implement some or all of the application's copy protection functions. The pseudocode for a given function is generated (preferably in encrypted form) from actual code using a special development tool, and is then imbedded within the application together with a corresponding pseudocode interpreter. The interpreter fetches, decrypts and executes the pseudocode when the function is called. Because no disassemblers or other development tools exist for analyzing the pseudocode, the task of analyzing the copy protection functions becomes significantly more complex. The third method involves the use of a special obfuscation tool to convert the code for selected copy-protection functions into unnecessarily long, inefficient sequences of machine code. In one implementation of the obfuscation tool, the developer can control the quantity of code that is generated by specifying one or more control parameters. The three methods can also be used to protect software license management systems from security attacks.

50 Claims, 14 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 11

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KMC	Draw, De

☐ 4. Document ID: US 6460141 B1

L12: Entry 4 of 25 File: USPT Oct 1, 2002

US-PAT-NO: 6460141

DOCUMENT-IDENTIFIER: US 6460141 B1

Record List Display Page 4 of 21

TITLE: <u>Security</u> and access management system for web-enabled and non-web-enabled applications and content on a computer network

DATE-ISSUED: October 1, 2002

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Olden; Eric M. San Francisco CA

US-CL-CURRENT: 713/201; 713/202

#### ABSTRACT:

A <u>security</u> and access management system provides unified access management to address the specific problems facing the deployment of <u>security</u> for the Web and non-Web environment. Unified access management consists of strategic approaches to unify all key aspects of Web and non-Web <u>security</u> policies, including access control, authorization, authentication, auditing, data privacy, administration, and business rules. Unified access management also addresses technical scalability requirements needed to successfully deploy a reliable unified Web and non-Web <u>security</u> system. The <u>security</u> and access management system provides the technology required to support these key factors as they relate to Web and non-Web <u>security</u>. The <u>security</u> and access management system operates in combination with network and system <u>security</u> tools such as firewalls, network intrusion detection tools, and systems management tools to provide comprehensive <u>security</u> for the Web-enabled enterprise.

3 Claims, 37 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 36

Full Title Citati	n Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC	Drawi De

☐ 5. Document ID: US 6427140 B1

L12: Entry 5 of 25 File: USPT Jul 30, 2002

US-PAT-NO: 6427140

DOCUMENT-IDENTIFIER: US 6427140 B1

\*\* See image for Certificate of Correction \*\*

TITLE: Systems and methods for secure transaction management and electronic rights protection

DATE-ISSUED: July 30, 2002

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Ginter; Karl L. Beltsville MD Shear; Victor H. Bethseda MD Spahn; Francis J. El Cerrito CA Van Wie; David M. Sunnyvale CA Record List Display Page 5 of 21

US-CL-CURRENT: 705/80; 705/53, 713/193

#### ABSTRACT:

The present invention provides systems and methods for secure transaction management and electronic rights protection. Electronic appliances such as computers equipped in accordance with the present invention help to ensure that information is accessed and used only in authorized ways, and maintain the integrity, availability, and/or confidentiality of the information. Such electronic appliances provide a distributed virtual distribution environment (VDE) that may enforce a secure chain of handling and control, for example, to control and/or meter or otherwise monitor use of electronically stored or disseminated information. Such a virtual distribution environment may be used to protect rights of various participants in electronic commerce and other electronic or electronic-facilitated transactions. Distributed and other operating systems, environments and architectures, such as, for example, those using tamper-resistant hardware-based processors, may establish security at each node. These techniques may be used to support an all-electronic information distribution, for example, utilizing the "electronic highway."

30 Claims, 155 Drawing figures Exemplary Claim Number: 24 Number of Drawing Sheets: 146

Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims KWIC Draw. De

☐ 6. Document ID: US 6415316 B1

L12: Entry 6 of 25

File: USPT

Jul 2, 2002

US-PAT-NO: 6415316

DOCUMENT-IDENTIFIER: US 6415316 B1

\*\* See image for Certificate of Correction \*\*

TITLE: Method and apparatus for implementing a web page diary

DATE-ISSUED: July 2, 2002

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Van Der Meer; Joannes Jozef Everardus Amersfoort NL

US-CL-CURRENT: 709/203; 705/8, 709/217, 713/166, 713/167, 715/501.1, 715/513,

<u>715</u>/526

#### ABSTRACT:

A method and apparatus to create a "diary" containing multimedia references to contents of Websites. These references (also called addresses) can be to, for example, text, bookmarks, images, programs, movies, etc. Many content objects are provided via the Websites of "content providers," with the specific intent of making the content objects available to a user to place in his diary. Each diary page has a format specified by a cover. The cover is provided by a cover provider and specifies where on the diary page the diary owner can place his content. The

Record List Display Page 6 of 21

name "diary" arises because the invention preferably allows the user to save these references in association with dates and/or times. The pages of a user's diary may be navigated like a book, moving forward and backward through the pages or jumping to a particular page. In addition to storing references to Web information, the user can also jot down reminders, enter appointments, and birthdays, etc. for dates. A user is allowed to choose a visual "theme" for the pages of his diary. This theme can be changed at any time by the user and reflects how the user wants to present himself and his diary to the world. The user can set various levels of privacy for different portions of his diary.

39 Claims, 33 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 23

Full Title	Citation Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWAC	Draw, De

☐ 7. Document ID: US 6378075 B1

L12: Entry 7 of 25 File: USPT Apr 23, 2002

US-PAT-NO: 6378075

DOCUMENT-IDENTIFIER: US 6378075 B1

TITLE: Trusted agent for electronic commerce

DATE-ISSUED: April 23, 2002

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Goldstein; Theodore C. Palo Alto CA
Martinez; Ronald G. San Francisco CA
Rubin; Paul Milpitas CA

US-CL-CURRENT: 713/200; 705/64

#### ABSTRACT:

A trusted agent server provides a networked application that assists a customer in managing their online commercial affairs. A user contacts the server using a network access device, such as a browser on a personal computer. The trusted agent client component augments the user's network access device to perform business transactions on behalf of the user. The user controls these transactions through the trusted agent server. A trusted agent service is a trusted agent client component application which operates in conjunction with the trusted agent server. The trusted agent service is an Internet-based mechanism that makes single-click buying available on any commercial Web site. The trusted agent also provides customers with access to personal and credit card information used during single-click transactions, smart receipts used for ongoing customer support, merchant and product preference settings, and direct response product offerings keyed to these preferences. Because this information is all stored on the trusted agent server, it is available to any device connected to the Internet. The trusted agent service is implemented by operating the trusted agent server.

22 Claims, 9 Drawing figures

Record List Display Page 7 of 21

Exemplary Claim Number: 1
Number of Drawing Sheets: 9

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC	Draw, De

8. Document ID: US 6374286 B1

L12: Entry 8 of 25

File: USPT

Apr 16, 2002

US-PAT-NO: 6374286

DOCUMENT-IDENTIFIER: US 6374286 B1

TITLE: Real time processor capable of concurrently running multiple independent

JAVA machines

DATE-ISSUED: April 16, 2002

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Gee; John K.	Mt. Vernon	IA		
Greve; David A.	Cedar Rapids	IA		
Hardin; David S.	Cedar Rapids	IA		
Mass; Allen P.	Lisbon	IA		
Masters; Michael H.	Cedar Rapids	IA		
Mykris; Nick M.	Cedar Rapids	IA		
Wilding; Matthew M.	Cedar Rapids	IA		

US-CL-CURRENT: 718/108; 710/260, 713/502, 718/1

#### ABSTRACT:

Multiple Java Virtual Machines (JVMs) operate on a single direct execution JAVA processor with each JVM operating in a separate time slice called a partition. Each JVM has its own data and control structures and is assigned a fixed area of memory. Each partition is also allotted a fixed period of time in which to operate, and, at the end of the allotted time, a context switch is forced to another JVM operating in the next partition. The context switch does not transfer control directly from one JVM to another JVM. Instead, at the end of a partition time period control is switched from the currently operating JVM to a "master JVM" during a time period called an "interstice." The master JVM handles system interrupts and housekeeping duties. At the end of the interstice time period, the master JVM starts a proxy thread associated with the next JVM to become operational. The proxy thread handles JVM-specific interrupts and checks the status of the associated JVM. If the JVM appears operational the proxy thread transfers control to the JVM thread. Time intervals such as partition times and interstice times are enforced by hardware timers and memory accesses are checked by address comparison circuitry to prevent a system failure due to a malfunction in either the master JVM or another JVM.

25 Claims, 23 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 21

# Full Title Citation Front Review Classification Date Reference Sequences Altachments Claims KMC Draw De

□ 9. Document ID: US 6363488 B1

L12: Entry 9 of 25

File: USPT

Mar 26, 2002

US-PAT-NO: 6363488

DOCUMENT-IDENTIFIER: US 6363488 B1

\*\* See image for Certificate of Correction \*\*

TITLE: Systems and methods for secure transaction management and electronic rights protection

DATE-ISSUED: March 26, 2002

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY Ginter; Karl L. Beltsville MD Shear; Victor H. Bethesda MD

Spahn; Francis J. El Cerrito CA Van Wie; David M. Eugene OR

US-CL-CURRENT: 713/201; 705/14, 705/53

#### ABSTRACT:

The present invention provides systems and methods for secure transaction management and electronic rights protection. Electronic appliances such as computers equipped in accordance with the present invention help to ensure that information is accessed and used only in authorized ways, and maintain the integrity, availability, and/or confidentiality of the information. Such electronic appliances provide a distributed virtual distribution environment (VDE) that may enforce a secure chain of handling and control, for example, to control and/or meter or otherwise monitor use of electronically stored or disseminated information. Such a virtual distribution environment may be used to protect rights of various participants in electronic commerce and other electronic or electronic-facilitated transactions. Distributed and other operating systems, environments and architectures, such as, for example, those using tamper-resistant hardware-based processors, may establish security at each node. These techniques may be used to support an all-electronic information distribution, for example, utilizing the "electronic highway."

6 Claims, 155 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 146

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☐ 10. Document ID: US 6353892 B1

L12: Entry 10 of 25

File: USPT

Mar 5, 2002

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US-PAT-NO: 6353892

DOCUMENT-IDENTIFIER: US 6353892 B1

\*\* See image for Certificate of Correction \*\*

TITLE: Copy protection of digital images transmitted over networks

DATE-ISSUED: March 5, 2002

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Schreiber; Daniel Beit Shemesh IL
Goldman; Andrew Beit Shemesh IL

US-CL-CURRENT: 713/201

#### ABSTRACT:

A method for protecting digital images distributed over a network, including the steps of receiving a request from a client computer running a network browser, for an original layout page containing references to digital images therein, parsing the original layout page for the references to digital images, generating a modified layout page from the original layout page by replacing at least one of the references to digital images in the original layout page with references to substitute data, and sending the modified layout page to the client computer. A system is also described and claimed.

32 Claims, 19 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 15

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC	Draw, De
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☐ 11. Document ID: US 6334189 B1

L12: Entry 11 of 25 File: USPT Dec 25, 2001

US-PAT-NO: 6334189

DOCUMENT-IDENTIFIER: US 6334189 B1

TITLE: Use of pseudocode to protect software from unauthorized use

DATE-ISSUED: December 25, 2001

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Granger; Mark J. Azusa CA Smith; Cyrus E. Monrovia CA Hoffman; Matthew I. South Pasadena CA

US-CL-CURRENT: 713/200; 380/255, 380/268, 713/201

ABSTRACT:

Record List Display Page 10 of 21

Three methods are disclosed for protecting software applications from unauthorized distribution and use (piracy). The first method involves using values generated by a conventional ESD (Electronic Security Device) to encrypt and/or decrypt user data (such as a file) that is generated and used by the application. In a preferred embodiment, the user data is encrypted (such as during a write to memory) using values returned by the ESD, and the user data is later decrypted using like values returned by a software-implemented ESD simulator. The second and third methods involve the use of special development tools that make the task of analyzing the application's copy protection code (such as the code used to encrypt and/or decrypt user data) significantly more difficult. Specifically, the second method involves using pseudocode to implement some or all of the application's copy protection functions. The pseudocode for a given function is generated (preferably in encrypted form) from actual code using a special development tool, and is then imbedded within the application together with a corresponding pseudocode interpreter. The interpreter fetches, decrypts and executes the pseudocode when the function is called. Because no disassemblers or other development tools exist for analyzing the pseudocode, the task of analyzing the copy protection functions becomes significantly more complex. The third method involves the use of a special obfuscation tool to convert the code for selected copy-protection functions into unnecessarily long, inefficient sequences of machine code. In one implementation of the obfuscation tool, the developer can control the quantity of code that is generated by specifying one or more control parameters. The three methods can also be used to protect software license management systems from security attacks.

30 Claims, 14 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 11

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☐ 12. Document ID: US 6327661 B1

L12: Entry 12 of 25 File: USPT Dec 4, 2001

US-PAT-NO: 6327661

DOCUMENT-IDENTIFIER: US 6327661 B1

TITLE: Using unpredictable information to minimize leakage from smartcards and

other cryptosystems

DATE-ISSUED: December 4, 2001

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Kocher; Paul C. San Francisco CA
Jaffe; Joshua M. San Francisco CA
Jun; Benjamin C. Palo Alto CA

US-CL-CURRENT: 713/193; 380/28, 380/46, 380/47, 713/322, 713/323, 713/501

ABSTRACT:

Methods and apparatuses are disclosed for securing cryptosystems against external

Record List Display Page 11 of 21

monitoring attacks by reducing the amount (and signal to noise ratio) of useful information leaked during processing. This is generally accomplished by incorporating unpredictable information into the cryptographic processing. Various embodiments of the invention use techniques such as reduction of signal to noise ratios, random noise generation, clock skipping, and introducing entropy into the order of processing operations or the execution path. The techniques may be implemented in hardware or software, may use a combination of digital and analog techniques, and may be deployed in a variety of cryptographic devices.

36 Claims, 2 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 2

Full Title Citation Front Review Classification Date Reference	e Saperica Wishinens Claims KWC Draw De

☐ 13. Document ID: US 6298446 B1

L12: Entry 13 of 25 File: USPT Oct 2, 2001

US-PAT-NO: 6298446

DOCUMENT-IDENTIFIER: US 6298446 B1

TITLE: Method and system for copyright protection of digital images transmitted

over networks

DATE-ISSUED: October 2, 2001

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Schreiber; Daniel Beit Shemesh IL
Goldman; Andrew Beit Shemesh IL

US-CL-CURRENT: 713/201; 713/200

#### ABSTRACT:

A method for protecting digital images distributed over a network, including the steps of receiving a request from a client computer running a network browser, for an original layout page containing references to digital images therein, parsing the original layout page for the references to digital images, generating a modified layout page from the original layout page by replacing at least one of the references to digital images in the original layout page with references to substitute data, and sending the modified layout page to the client computer. A system is also described and claimed.

12 Claims, 19 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 14

Full Title Citation Front Review Classification Date Reference Structures Stackments Claims KWC Draw D													
Full   Title   Citation   Front   Review   Classification   Date   Reference   Section   Section   Front   Review   Classification   Date   Reference   Section   Section   Front   Review   Classification   Date   Reference   Section   Section   Section   Date   Reference   Section   Section   Date   Reference   Date   Date	C II	TIAL -	CHARLE	C.c.s.k	Danier I	C1	D	Distance	A CONTRACT OF THE PARTY OF	ALCOHOLD DESCRIPTION DESCRIPTION DE LA CONTROL DE LA CONTR	01-11	1.00000	D D
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☐ 14. Document ID: US 6282573 B1

L12: Entry 14 of 25 File: USPT Aug 28, 2001

US-PAT-NO: 6282573

DOCUMENT-IDENTIFIER: US 6282573 B1

TITLE: Computer architecture for managing courseware in a shared use operating

environment

DATE-ISSUED: August 28, 2001

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Darago; Vincent S. Manasquan NJ Jenkins; Christopher Springville UT

US-CL-CURRENT: <u>709/229</u>; <u>709/217</u>, <u>713/201</u>

#### ABSTRACT:

Methods, devices, and systems are provided in a multi-level computer architecture which provides improved capabilities for managing courseware and other content in a shared use operating environment such as a computer network. In particular, the invention provides a commercial networked instruction content delivery method and system which does not exclude synchronous sharing but is focused on asynchronous sharing. Security in the architecture provides content property holders with the ability to know how many minutes of use an individual made of licensed material and with increased certainty that their material cannot be used, copied, or sold in usable form unless lo and until a user site is connected or reconnected to a minute-by-minute counter which is located off the premises of the user. This security link helps protect software and other works which are being sold or licensed to an individual, organization, or entity, and creates income opportunities for owners of such content.

37 Claims, 7 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 4

Full	Title	Citation	Front	Review	Classification	Date	Reference	<b>FEDERAL ST</b>	(Clientine)	Claims	KWIC	Drawi De

☐ 15. Document ID: US 6275938 B1

L12: Entry 15 of 25 File: USPT Aug 14, 2001

US-PAT-NO: 6275938

DOCUMENT-IDENTIFIER: US 6275938 B1

TITLE: Security enhancement for untrusted executable code

DATE-ISSUED: August 14, 2001

INVENTOR-INFORMATION:

Record List Display Page 13 of 21

NAME CITY STATE ZIP CODE COUNTRY

Bond; Barry Renton WA Bharati; Sudeep Bellevue WA

US-CL-CURRENT: 713/200; 713/201, 713/202, 714/38, 714/53, 714/55

#### ABSTRACT:

Untrusted executable code programs (applets or controls) are written in native, directly executable code. The executable code is loaded into a pre-allocated memory range (sandbox) from which references to outside memory are severely restricted by checks (sniff code) added to the executable code. Conventional application-program interface (API) calls in the untrusted code are replaced with translation-code modules (thunks) that allow the executable code to access the host operating system, while preventing breaches of the host system's <a href="security">security</a>. Static links in the code are replaced by calls to thunk modules. When an API call is made during execution, control transfers to the thunk, which determines whether the API call is one which should be allowed to execute on the operating system.

20 Claims, 4 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 4

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC	Draw, De
	_											

☐ 16. Document ID: US 6253326 B1

L12: Entry 16 of 25. File: USPT Jun 26, 2001

US-PAT-NO: 6253326

DOCUMENT-IDENTIFIER: US 6253326 B1

TITLE: Method and system for secure communications

DATE-ISSUED: June 26, 2001

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Lincke; Scott D. San Carlos CA Marianetti, II; Ronald Morgan Hill CA

US-CL-CURRENT: 713/201; 380/255, 380/270, 713/168, 713/200

#### ABSTRACT:

A communications system and methods for securely transmitting a message between a wireless client and a proxy server are provided. A method for transmitting a message from the wireless client to a proxy server is provided. The message includes at least one packet of data and is encrypted using a data encryption key. The data encryption key is encrypted using a proxy server public key prior to sending the encrypted data encryption key to the proxy server. A method for transmitting a message from the proxy server to the wireless client is also provided. The proxy server recovers the data encryption key using the proxy server

private key corresponding to the proxy server public key. The proxy server encrypts the message using the data encryption key and transmits the encrypted message to the wireless client. A communications system for secure communications comprising a source of data, a proxy server and a wireless client is also provided. Each transaction in the communications system comprises at least one request message and at least one response message. For each transaction, the wireless client encrypts a data encryption key using a proxy server public key. Messages exchanged between the wireless client and the proxy server are encrypted using the transaction specific data encryption key.

36 Claims, 14 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 14

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Altachmente	Claims	KWIC	Draw, De

☐ 17. Document ID: US 6199181 B1

L12: Entry 17 of 25

File: USPT

Mar 6, 2001

US-PAT-NO: 6199181

DOCUMENT-IDENTIFIER: US 6199181 B1

\*\* See image for Certificate of Correction \*\*

TITLE: Method and system for maintaining restricted operating environments for application programs or operating systems

DATE-ISSUED: March 6, 2001

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Rechef; Eran	Lehavim			IL
Raanan; Gil	Zoran			IL
Solan; Eilon	Herzlia			IL

US-CL-CURRENT: 714/38; 713/201

#### ABSTRACT:

A method for protecting an operating environment on a processor from a rogue program operating on the processor comprising isolating simultaneously executing programs or operating systems is disclosed. Memory space for use only by the first program while the first program is executing is allocated. Communication between the first program and the computer's operating environment is accomplished through a single link employing one of several methods including using shared memory space, a dedicated interrupt or a dedicated I/O port. The monitor manages a restricted operating environment for the first program on the processor, the restricted operating environment preventing the first program from accessing resources on the processor except for the allocated memory space the single communication link.

21 Claims, 10 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 9 Record List Display Page 15 of 21

Full Title Citation Front Review Classification Date Reference Seguences Attachments Claims KWIC Draw De

☐ 18. Document ID: US 6023764 A

L12: Entry 18 of 25

File: USPT

Feb 8, 2000

US-PAT-NO: 6023764

DOCUMENT-IDENTIFIER: US 6023764 A

TITLE: Method and apparatus for providing security certificate management for Java

Applets

DATE-ISSUED: February 8, 2000

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Curtis; Bryce Allen Round Rock TX

US-CL-CURRENT: <u>713/200</u>

#### **ABSTRACT:**

The present invention defines a means for establishing a secure connection between a <u>Java</u> Applet and a secure web server for protocols other than Https via the use of a <u>Java Security</u> Service. More specifically, the present invention uses the web browser's installed certificates to setup and establish an encrypted session between the <u>Java</u> Applet and the secure web server. The secure connection is then used to retrieve the certificates required by the Java security service.

15 Claims, 5 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 4

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC	Draw, De

☐ 19. Document ID: US 6009540 A

L12: Entry 19 of 25 File: USPT Dec 28, 1999

US-PAT-NO: 6009540

DOCUMENT-IDENTIFIER: US 6009540 A

TITLE: Logic module for implementing system changes on PC architecture computers

DATE-ISSUED: December 28, 1999

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Craft; Thomas W. Laguna Hills CA
Dobbs; Donald Lee Mission Viejo CA

Record List Display Page 16 of 21

US-CL-CURRENT: 714/30; 713/400, 713/500, 713/503, 714/54, 714/55, 714/731

#### ABSTRACT:

A system, method and apparatus including a logic module, preferably embodied as an electronic card that operates in combination with a PC to correct errors caused by deficiencies existing in logic residing on the PC's motherboard, such as the PC's BIOS. The preferred logic card includes a transceiver module, a memory module (e.g. an EPROM or Masked ROM) containing storage elements and executable code stored as pages. The preferred logic card also includes a page register module in communication with the transceiver and the memory, and a paging mechanism that cooperates with the page register and the transceiver for allowing only a predetermined number of bytes (pages) of executable code to be accessible for operation in the PC's main-memory in order to correct errors caused by deficiencies existing in logic residing on the PC's motherboard.

9 Claims, 10 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 10

	Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences Attachments	Claims	KWIC	Drawt De
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☐ 20. Document ID: US 5982891 A

L12: Entry 20 of 25 File: USPT Nov 9, 1999

US-PAT-NO: 5982891

DOCUMENT-IDENTIFIER: US 5982891 A

TITLE: Systems and methods for secure transaction management and electronic rights protection

DATE-ISSUED: November 9, 1999

## INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY Ginter; Karl L. Beltsville MD Shear; Victor H. Bethesda MD Spahn; Francis J. El Cerrito CA Van Wie; David M. Sunnyvale CA

US-CL-CURRENT: <u>705/54</u>; <u>705/26</u>, <u>713/167</u>

### ABSTRACT:

The present invention provides systems and methods for secure transaction management and electronic rights protection. Electronic appliances such as computers equipped in accordance with the present invention help to ensure that information is accessed and used only in authorized ways, and maintain the integrity, availability, and/or confidentiality of the information. Such electronic appliances provide a distributed virtual distribution environment (VDE) that may enforce a secure chain of handling and control, for example, to control and/or meter or otherwise monitor use of electronically stored or disseminated

Record List Display Page 17 of 21

information. Such a virtual distribution environment may be used to protect rights of various participants in electronic commerce and other electronic or electronic-facilitated transactions. Distributed and other operating systems, environments and architectures, such as, for example, those using tamper-resistant hardware-based processors, may establish security at each node. These techniques may be used to support an all-electronic information distribution, for example, utilizing the "electronic highway."

102 Claims, 153 Drawing figures

Exemplary Claim Number: 1
Number of Drawing Sheets: 146

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC	Drawu De

☐ 21. Document ID: US 5974549 A

L12: Entry 21 of 25 File: USPT Oct 26, 1999

US-PAT-NO: 5974549

DOCUMENT-IDENTIFIER: US 5974549 A

TITLE: Security monitor

DATE-ISSUED: October 26, 1999

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Golan; Gilad Ramat Hasharon IL

US-CL-CURRENT: 713/200; 714/47

### ABSTRACT:

The present invention is a method of creating a secure sandbox within which a plurality of downloaded software components can execute in a secure manner. The software components can be of any type, e.g., Java, ActiveX, Netscape plugin, etc. The invention implements a security monitor that is injected to the address space of an arbitrary monitored application such as a Web browser, e.g., Internet Explorer, Netscape Navigator, etc. The monitored application then executes in a secure mode in which every software component downloaded executes in a secure sandbox. The security monitor detects when such a software component is downloaded and is operative to create the sandbox around it before it is permitted to execute. If the software component attempts to commit an action that breaches security, it halts the software component's execution and issues a warning to the user. The security monitor detects attempted security breaches by the software component in accordance with a user configurable security policy. Such a policy may include limiting file read/write access, access to directories, disk access, creation and the reading/writing of network connections, access to system resources and services and access to the address spaces of other processes.

19 Claims, 15 Drawing figures Exemplary Claim Number: 12 Number of Drawing Sheets: 14 Record List Display Page 18 of 21

## Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims KMC Draw. De

☐ 22. Document ID: US 5917912 A

L12: Entry 22 of 25

File: USPT

Jun 29, 1999

US-PAT-NO: 5917912

DOCUMENT-IDENTIFIER: US 5917912 A

TITLE: System and methods for secure transaction management and electronic rights

protection

DATE-ISSUED: June 29, 1999

INVENTOR - INFORMATION:

NAME STATE ZIP CODE CITY COUNTRY Ginter; Karl L. Beltsville MD Shear; Victor H. Bethesda MD Spahn; Francis J. El Cerrito CA Van Wie; David M. Sunnyvale CA

US-CL-CURRENT: <u>713/187</u>; <u>705/40</u>, <u>713/164</u>, <u>719/312</u>

#### ABSTRACT:

The present invention provides systems and methods for secure transaction management and electronic rights protection. Electronic appliances such as computers equipped in accordance with the present invention help to ensure that information is accessed and used only in authorized ways, and maintain the integrity, availability, and/or confidentiality of the information. Such electronic appliances provide a distributed virtual distribution environment (VDE) that may enforce a secure chain of handling and control, for example, to control and/or meter or otherwise monitor use of electronically stored or disseminated information. Such a virtual distribution environment may be used to protect rights of various participants in electronic commerce and other electronic or electronicfacilitated transactions. Distributed and other operating systems, environments and architectures, such as, for example, those using tamper-resistant hardware-based processors, may establish security at each node. These techniques may be used to support an all-electronic information distribution, for example, utilizing the "electronic highway."

58 Claims, 153 Drawing figures Exemplary Claim Number: 58 Number of Drawing Sheets: 146

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC	Draw. De

☐ 23. Document ID: US 5915019 A

L12: Entry 23 of 25

File: USPT

Jun 22, 1999

Record List Display Page 19 of 21

US-PAT-NO: 5915019

DOCUMENT-IDENTIFIER: US 5915019 A

TITLE: Systems and methods for secure transaction management and electronic rights protection

DATE-ISSUED: June 22, 1999

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY Ginter; Karl L. Beltsville MD Shear; Victor H. Bethesda MD

Spahn; Francis J. El Cerrito CA
Van Wie; David M. Sunnyvale CA

US-CL-CURRENT: 705/54; 705/26, 705/400, 713/200

#### ABSTRACT:

The present invention provides systems and methods for secure transaction management and electronic rights protection. Electronic appliances such as computers equipped in accordance with the present invention help to ensure that information is accessed and used only in authorized ways, and maintain the integrity, availability, and/or confidentiality of the information. Such electronic appliances provide a distributed virtual distribution environment (VDE) that may enforce a secure chain of handling and control, for example, to control and/or meter or otherwise monitor use of electronically stored or disseminated information. Such a virtual distribution environment may be used to protect rights of various participants in electronic commerce and other electronic or electronic-facilitated transactions. Distributed and other operating systems, environments and architectures, such as, for example, those using tamper-resistant hardware-based processors, may establish security at each node. These techniques may be used to support an all-electronic information distribution, for example, utilizing the "electronic highway."

101 Claims, 155 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 146

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☐ 24. Document ID: US 5892900 A

L12: Entry 24 of 25 File: USPT Apr 6, 1999

US-PAT-NO: 5892900

DOCUMENT-IDENTIFIER: US 5892900 A

\*\* See image for Certificate of Correction \*\*

TITLE: Systems and methods for secure transaction management and electronic rights protection

DATE-ISSUED: April 6, 1999

Record List Display Page 20 of 21

INVENTOR - INFORMATION:

NAME STATE ZIP CODE COUNTRY CITY Ginter; Karl L. Beltsville MD Shear; Victor H. Bethesda MD Sibert; W. Olin Lexington MA Spahn; Francis J. El Cerrito CA Van Wie; David M. Sunnyvale CA

US-CL-CURRENT: 713/200; 713/201

#### ABSTRACT:

The present invention provides systems and methods for electronic commerce including secure transaction management and electronic rights protection. Electronic appliances such as computers employed in accordance with the present invention help to ensure that information is accessed and used only in authorized ways, and maintain the integrity, availability, and/or confidentiality of the information. Secure subsystems used with such electronic appliances provide a distributed virtual distribution environment (VDE) that may enforce a secure chain of handling and control, for example, to control and/or meter or otherwise monitor use of electronically stored or disseminated information. Such a virtual distribution environment may be used to protect rights of various participants in electronic commerce and other electronic or electronic-facilitated transactions. Secure distributed and other operating system environments and architectures, employing, for example, secure semiconductor processing arrangements that may establish secure, protected environments at each node. These techniques may be used to support an end-to-end electronic information distribution capability that may be used, for example, utilizing the "electronic highway."

220 Claims, 177 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 163

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC	Draw, De

☐ 25. Document ID: US 5870544 A

L12: Entry 25 of 25 File: USPT Feb 9, 1999

US-PAT-NO: 5870544

DOCUMENT-IDENTIFIER: US 5870544 A

TITLE: Method and apparatus for creating a secure connection between a <u>java</u> applet and a web server

DATE-ISSUED: February 9, 1999

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Curtis; Bryce Allen Round Rock TX

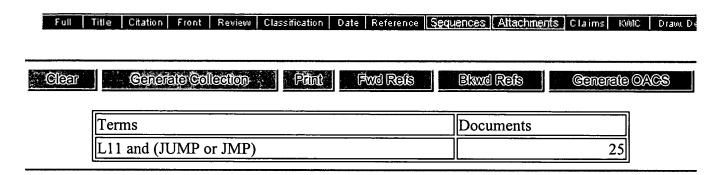
US-CL-CURRENT: <u>713/201</u>; <u>709/229</u>, <u>713/150</u>, <u>713/151</u>, <u>713/156</u>, <u>713/200</u>

Record List Display Page 21 of 21

#### ABSTRACT:

The present invention defines a a method, an apparatus and a computer program product for establishing a secure connection between a <u>Java</u> Applet and a secure web server for protocols other than Https via the use of a <u>Java Security</u> Service. More specifically, the present invention uses the web browser's installed certificates to setup and establish an encrypted session between the <u>Java</u> Applet and the secure web server. The secure connection is then used to retrieve the certificates required by the <u>Java security</u> service.

6 Claims, 4 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 4



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## Hit List



## Search Results - Record(s) 1 through 15 of 15 returned.

☐ 1. Document ID: US 6850989 B1

L6: Entry 1 of 15

File: USPT

Feb 1, 2005

US-PAT-NO: 6850989

DOCUMENT-IDENTIFIER: US 6850989 B1

TITLE: Method and apparatus for automatically configuring a network switch

DATE-ISSUED: February 1, 2005

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Lavian; Tal I. Sunnyvale CA
Lau; Stephen Milpitas CA
Ong; Lyndon Y. San Jose CA

US-CL-CURRENT: 709/242; 709/224, 709/249

#### ABSTRACT:

A method and apparatus for automatically configuring a network switch having external network data ports, a processor, and memory. Network data is monitored on the external network data port. Information about the network data traffic is compared to one or more threshold conditions. The network switch is automatically configured if the network data meets one of the threshold conditions. The monitor and configuration functions can be performed by software running on the processor which has been downloaded from an external network maintenance station through a maintenance data port. Information about the network data traffic can be uploaded to the external network maintenance station through a maintenance data port.

17 Claims, 15 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 9

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC	Draw. De

☐ 2. Document ID: US 6802054 B2

L6: Entry 2 of 15

File: USPT

Oct 5, 2004

US-PAT-NO: 6802054

DOCUMENT-IDENTIFIER: US 6802054 B2

Record List Display Page 2 of 13

TITLE: Generation of runtime execution traces of applications and associated problem determination

DATE-ISSUED: October 5, 2004

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Faraj; Mazen North York CA

US-CL-CURRENT: 717/128; 714/45

#### ABSTRACT:

A computer system for generating and analyzing application trace data includes a monitor for launching Java language virtual machines using the Java Platform Debug Architecture to enable the virtual machines to generate event data on the occurrence of specified events during application execution on the virtual machines. The event data is placed on an event queue and the monitor removes the event data from the event queue for forwarding to a logging service. The logging service records the event data in a trace file. A set of problem determination tools use defined product description, and the trace file data to provide an analysis to a user based on a defined level of analysis selected by the user from product, component, code and logical levels of analysis.

25 Claims, 1 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 1

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWC	Draw, De

☐ 3. Document ID: US 6792601 B1

L6: Entry 3 of 15 File: USPT Sep 14, 2004

US-PAT-NO: 6792601

DOCUMENT-IDENTIFIER: US 6792601 B1

TITLE: Multiple mode object locking method and system

DATE-ISSUED: September 14, 2004

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Dimpsey; Robert Tod Travis TX
Hoflich; Benjamin Joseph Austin TX

Peacock; Brian David North Baddlesley GB

US-CL-CURRENT: 718/102; 710/200, 711/205, 711/206, 718/101, 718/103, 718/104

ABSTRACT:

An object-based multi-threaded computing system has a cyclic garbage collection

Record List Display Page 3 of 13

strategy and includes an object locking system having (i) a first mode in which access by a single thread without contention to an object is controlled by a monitor internal to said object, and (ii) a second mode in which access by multiple threads with contention to said object is controlled by a monitor external to said object. For any given object a transition from the first mode to the second mode is termed inflation, and a transition from the second mode to the first mode is termed deflation. Responsive to the start of a period of contention for an object in said first mode, the object is inflated to the second mode, and an inflation rate counter is incremented. After the period of contention has concluded the value of the inflation rate counter is compared against a predetermined value in order to determine whether or not to deflate the object. The inflation rate counter is reset at every garbage collection cycle.

20 Claims, 5 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 4

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☐ 4. Document ID: US 6769022 B1

L6: Entry 4 of 15 File: USPT Jul 27, 2004

US-PAT-NO: 6769022

DOCUMENT-IDENTIFIER: US 6769022 B1

TITLE: Methods and apparatus for managing heterogeneous storage devices

DATE-ISSUED: July 27, 2004

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY DeKoning; Rodney A. KS Augusta Delaney; William P. Wichita KS Jantz; Ray M. Wichita KS Weber; Bret S. Wichita KS Courtright, II; William V. Pittsburgh PA

US-CL-CURRENT: <u>709/223</u>; <u>709/201</u>, <u>709/203</u>, <u>709/224</u>, <u>709/246</u>, <u>710/18</u>, <u>714/26</u>, <u>714/31</u>

#### ABSTRACT:

A system for monitoring and managing devices on network comprising one or more managed devices connected to the network and storage means for storing a device management application program associated with each of the managed devices. The system further includes a management station which is in communication with each of the managed devices across the network, and the management station is in communication with the storage means. When a user wishes to monitor, configure, or manage one of the managed devices on the network, the user preferably selects the managed device to be managed and the management station retrieves from the storage means the device management application program associated with the selected managed device. By the management station processing the management application program for the selected managed device, the management station allows the user to

Record List Display Page 4 of 13

 $\underline{\text{monitor}}$  the status of the managed device, as well as change the configuration of and fix errors with the managed device.

22 Claims, 16 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 13

## Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims KMC Draw, De

□ 5. Document ID: US 6735760 B1

L6: Entry 5 of 15

File: USPT

May 11, 2004

US-PAT-NO: 6735760

DOCUMENT-IDENTIFIER: US 6735760 B1

TITLE: Relaxed lock protocol

DATE-ISSUED: May 11, 2004

INVENTOR-INFORMATION:

NAME CITY

STATE ZIP CODE

COUNTRY

Dice; David

Foxborough

MA

US-CL-CURRENT: 717/139; 717/140, 717/151, 718/102

### ABSTRACT:

An object-oriented compiler/interpreter allocates <u>monitor</u> records for use in implementing synchronized operations on objects. When a synchronization operation is to be performed on an object, a thread that is to perform the operation "inflates" the object's <u>monitor</u> by placing into its header a pointer to the <u>monitor</u> record as well as an indication of the <u>monitor's</u> inflated status. When a thread is to release its lock on an object, it first consults a reference-count field in the <u>monitor</u> record to determine whether any other threads are synchronized on the object. It then dissociates the object from the <u>monitor</u> record. The dissociation is not atomic with the reference-count check, so the releasing thread checks the reference count again. If that count indicates that further objects had employed the <u>monitor</u> record to synchronize on the object in the interim, then the unlocking thread wakes all waiting threads.

45 Claims, 22 Drawing figures Exemplary Claim Number: 12 Number of Drawing Sheets: 20

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC	Drawi De
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☐ 6. Document ID: US 6654949 B1

L6: Entry 6 of 15

File: USPT

Nov 25, 2003

Record List Display Page 5 of 13

US-PAT-NO: 6654949

DOCUMENT-IDENTIFIER: US 6654949 B1

\*\* See image for Certificate of Correction \*\*

TITLE: Method and system for monitoring the execution of hybrid source code

DATE-ISSUED: November 25, 2003

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Fraenkel; Michael L. Raleigh NC Gerken; Christopher H. Apex NC

Ryman; Arthur G. Thornhill CA
Yu; Patsy S. H. Toronto CA
Yuen; Siu C. Scarborough CA

US-CL-CURRENT: 717/130; 717/124, 717/127

#### ABSTRACT:

This invention describes a system and method for monitoring the execution of hybrid source code such as JavaServer Pages (JSP) code. The system comprises a page compiler, which is called by a server for translating JSP code into a servlet for execution by the server. The page compiler during translation of the JSP code inserts instrumentation in the compiled JSP code for supporting execution tracing by an execution monitor. The execution monitor receives outputs from the page compiler, the servlet and the raw JSP code for displaying selected information about the execution of the JSP code to the developer on a graphical user interface. The execution monitor thus allows the developer to view the correlation between the JSP code, the servlet code and the HTML code that is generated by the servlet.

24 Claims, 6 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 6

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KMC	Draw, De

7. Document ID: US 6557168 B1

L6: Entry 7 of 15

File: USPT

Apr 29, 2003

US-PAT-NO: 6557168

DOCUMENT-IDENTIFIER: US 6557168 B1

TITLE: System and method for minimizing inter-application interference among static synchronized methods

DATE-ISSUED: April 29, 2003

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Czajkowski; Grzegorz J. Mountain View CA

Record List Display Page 6 of 13

US-CL-CURRENT: 717/151; 713/375, 717/127

#### ABSTRACT:

A system and method for isolating the execution of a plurality of applications. A plurality of monitors are provided for a plurality of applications to access a static synchronized method. The applications are enabled to call the static synchronized method concurrently by accessing the static synchronized method through the plurality of monitors. A plurality of threads within one of the applications are excluded from calling the static synchronized method concurrently. The source code or <a href="https://documents.org/by-en-code">by-en-code</a> for the synchronized method may be transformed by removing a method-level <a href="monitor">monitor</a> and adding the plurality of monitors inside the method. In one embodiment, each static synchronized method is replaced with a corresponding static non-synchronized method. The applications may be further isolated by placing the static fields of shared classes into a static field class, which has one instance per utilizing application. The static non-synchronized method includes the body of the corresponding static synchronized method, wherein the body is synchronized on the instance of the static field class that corresponds to the utilizing application.

30 Claims, 11 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 11

Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims KMC Draw. De

□ 8. Document ID: US 6532531 B1

L6: Entry 8 of 15 File: USPT

Mar 11, 2003

US-PAT-NO: 6532531

DOCUMENT-IDENTIFIER: US 6532531 B1

TITLE: Method frame storage using multiple memory circuits

DATE-ISSUED: March 11, 2003

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

O'Connor; James Michael Mountain View CA Tremblay; Marc Palo Alto CA

US-CL-CURRENT: 712/202; 711/6, 712/217, 718/1

## ABSTRACT:

A memory architecture in accordance with an embodiment of the present invention improves the speed of method invocation. Specifically, method frames of method calls are stored in two different memory circuits. The first memory circuit stores the execution environment of each method call, and the second memory circuit stores parameters, variables or operands of the method calls. In one embodiment the execution environment includes a return program counter, a return frame, a return constant pool, a current method vector, and a current monitor address. In some embodiments, the memory circuits are stacks; therefore, the stack management unit

Record List Display Page 7 of 13

to cache can be used to cache either or both memory circuits. The stack management unit can include a stack cache to accelerate data transfers between a stack-based computing system and the stacks. In one embodiment, the stack management unit includes a stack cache, a dribble manager unit, and a stack control. The dribble manager unit include fill control it and a spill control unit. Since the vast majority of memory accesses to the stack occur at or near the top of the stack, the dribble manager unit maintains the top portion of the stack in the stack cache. When the stack-based computing system is popping data off of the stack and a fill condition occurs, the fill control unit transfer data from the stack to the bottom of the stack cache to maintain the top portion of the stack in the stack cache. Typically, a fill condition occurs as the stack cache becomes empty and a spill condition occurs as the stack cache becomes full.

56 Claims, 17 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 17

-	Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KMC	Draw, De

□ 9. Document ID: US 6510352 B1

L6: Entry 9 of 15 File: USPT Jan 21, 2003

US-PAT-NO: 6510352

DOCUMENT-IDENTIFIER: US 6510352 B1

TITLE: Methods and apparatus for object-based process control

DATE-ISSUED: January 21, 2003

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Badavas; Paul C. Southboro MA Hansen; Peter D. Wellesley MA

US-CL-CURRENT:  $\frac{700}{19}$ ;  $\frac{700}{18}$ ,  $\frac{700}{20}$ ,  $\frac{700}{48}$ ,  $\frac{700}{49}$ ,  $\frac{700}{50}$ ,  $\frac{700}{52}$ ,  $\frac{718}{100}$ 

### ABSTRACT:

The provides improved control devices, systems and methods for operation thereof. These rely on control devices that provide virtual machine environments in which <u>Java</u> objects, or other such software constructs, are executed to implement control (e.g., to <u>monitor</u> and/or control a device, process or system). These objects define blocks which are the basic functional unit of the control. They also define the input, output and body parts from which blocks are formed, and the signals that are communicated between blocks. The objects also define nested and composite groupings of blocks used to control loops and higher-level control functions.

72 Claims, 18 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 18 Record List Display

# Full Title Citation Front Review Classification Date Reference Secuences Auguments Claims KMC Draw. De

☐ 10. Document ID: US 6480901 B1

L6: Entry 10 of 15

File: USPT

Nov 12, 2002

US-PAT-NO: 6480901

DOCUMENT-IDENTIFIER: US 6480901 B1

TITLE: System for monitoring and managing devices on a network from a management station via a proxy server that provides protocol converter

DATE-ISSUED: November 12, 2002

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Weber; Bret S.	Wichita	KS		
DeKoning; Rodney A.	Augusta	KS		
Delaney; William P.	Wichita	KS		
Jantz; Ray M.	Wichita	KS		
Courtright, II; William V.	Pittsburgh	PA		

US-CL-CURRENT: 709/246; 709/223

#### ABSTRACT:

A system and method for monitoring and managing devices on a network. The system and method preferably comprises a proxy server connected to the network and a managed device connected to the proxy server. The system further comprises storage means for storing a device management application program associated with the managed device, and a management station in communication with the managed device via the proxy server and in communication with the storage means. The management station preferably is configured to retrieve the device management application program from the storage means and process the device management application program. As the management station processes the device management application program, the management station is able to monitor and manage the managed device. In particular, the management station can send management commands to a controller of the managed device via the proxy server, and the management station can receive notifications from the managed device, also via the proxy server.

2 Claims, 16 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 13

Full	Title	Citation	Front	Review	Classification	Date	Reference	SECRETARIA	MINISTER OF	Claims	KWIC	Drawt De
	-											

☐ 11. Document ID: US 6327700 B1

L6: Entry 11 of 15

File: USPT

Dec 4, 2001

US-PAT-NO: 6327700

Record List Display Page 9 of 13

DOCUMENT-IDENTIFIER: US 6327700 B1

TITLE: Method and system for identifying instrumentation targets in computer programs related to logical transactions

DATE-ISSUED: December 4, 2001

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Chen; J. Bradley Seattle WA Bershad; Brian N. Seattle WA

US-CL-CURRENT: 717/127; 717/130

#### ABSTRACT:

A method and system for identifying sets of instructions within a computer program, execution of which serve as an indicator for processing of a transaction by the computer program and that together comprise a witness set. The witness set may be employed to monitor execution of the computer program and detect processing of the transaction. Witness sets are constructed by iteratively filtering an initial set of instructions based on profile data collected during execution of the computer program.

28 Claims, 4 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 4

Full Title Cit.	ation Front Rev	iew Classification Date	Reference Sequences	Attachments Claims	KWMC   Draww De
	<u> </u>				

☐ 12. Document ID: US 6314563 B1

L6: Entry 12 of 15 File: USPT Nov 6, 2001

US-PAT-NO: 6314563

DOCUMENT-IDENTIFIER: US 6314563 B1

\*\* See image for Certificate of Correction \*\*

TITLE: Expedited object locking and unlocking

DATE-ISSUED: November 6, 2001

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Agesen; Ole Needham MA
Detlefs; David L. Westford MA
Garthwaite; Alex Philadelphia PA

US-CL-CURRENT: 717/108; 717/116, 717/139, 717/151

ABSTRACT:

Record List Display Page 10 of 13

An object structure's header (40) allocates a two-bit synchronization-state field (42) solely to monitor data for implementing synchronization on that object. When the object is locked by a particular execution thread, or when one or more execution threads are waiting for a lock or notification on that object, its header contains a pointer to monitor resources in the form of a linked list of lock records (50, 52, 54) associated with the threads involved. The synchronizationstate field (42) ordinarily contains an indication of whether such a linked list exists and, if so, whether its first member is associated with a thread that has a lock on the object. When a thread attempts to gain access to that linked list, it employs an atomic swap operation to place a special busy value in that lock-state field (42) and write its execution-environment pointer into the object's header (40). If the previous value of that field was not the special busy value, the thread uses the header's previous contents to perform its intended synchronization operation. Otherwise, it obtains that information through its own execution environment (44, 46, or 48) or that of the thread whose identifier the object header previously contained. When the thread completes its synchronization operation, it employs an atomic compare-and-swap operation to write the results into the object's header if that header still contains the thread identifier that the thread originally wrote there. Otherwise, it communicates that information to its successor thread if the thread identifier is different and thereby indicates that at least one successor is contending for access to the linked list.

68 Claims, 35 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 28

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KMC	Draw, De
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☐ 13. Document ID: US 6175868 B1

L6: Entry 13 of 15

File: USPT

Jan 16, 2001

US-PAT-NO: 6175868

DOCUMENT-IDENTIFIER: US 6175868 B1

TITLE: Method and apparatus for automatically configuring a network switch

DATE-ISSUED: January 16, 2001

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY Lavian; Tal I. Sunnyvale CA

Lau; Stephen Milpitas CA
Ong; Lyndon Y. San Jose CA

US-CL-CURRENT: 709/223; 709/232, 709/238

## ABSTRACT:

A method and apparatus for automatically configuring a network switch having external network data ports, a processor, and memory. Network data is monitored on the external network data port. Information about the network data traffic is compared to one or more threshold conditions. The network switch is automatically configured if the network data meets one of the threshold conditions. The monitor

Record List Display Page 11 of 13

and configuration functions can be performed by software running on the processor which has been downloaded from an external network maintenance station through a maintenance data port. Information about the network data traffic can be uploaded to the external network maintenance station through a maintenance data port.

15 Claims, 15 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 9

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC	Drawi De

☐ 14. Document ID: US 6173442 B1

L6: Entry 14 of 15

File: USPT

Jan 9, 2001

US-PAT-NO: 6173442

DOCUMENT-IDENTIFIER: US 6173442 B1

TITLE: Busy-wait-free synchronization

DATE-ISSUED: January 9, 2001

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY Agesen; Ole Needham MA Detlefs; David L. Westford MA Garthwaite; Alex Philadelphia PA Knippel; Ross C. Half Moon Bay CA Ramakrishna; Y. Srinivas Mountain View CA White; Derek Reading MΑ

US-CL-CURRENT: 717/141; 707/8, 717/127, 717/162

#### ABSTRACT:

An object structure's header (40) allocates a two-bit synchronization-state field (42) solely to monitor data for implementing synchronization on that object. When the object is locked by a particular execution thread, or when one or more execution threads are waiting for a lock or notification on that object, its header contains a pointer to monitor resources in the form of a linked list of lock records (50, 52, 54) associated with the threads involved. The synchronizationstate field (42) ordinarily contains an indication of whether such a linked list exists and, if so, whether its first member is associated with a thread that has a lock on the object. When a thread attempts to gain access to that linked list, it employs an atomic swap operation to place a special busy value in that lock-state field (42) and write its execution-environment pointer into the object's header (40). If the previous value of that field was not the special busy value, the thread uses the header's previous contents to perform its intended synchronization operation. Otherwise, it obtains that information through its own execution environment (44, 46, or 48) or that of the thread whose identifier the object header previously contained. When the thread completes its synchronization operation, it employs an atomic compare-and-swap operation to write the results into the object's header if that header still contains the thread identifier that

Record List Display

the thread originally wrote there. Otherwise, it communicates that information to its successor thread if the thread identifier is different and thereby indicates that at least one successor is contending for access to the linked list.

77 Claims, 35 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 28

Full Title Citation Front Review Classification Date Reference Seguences Attachments Claims KMC Draw. De

□ 15. Document ID: US 6170015 B1

L6: Entry 15 of 15

File: USPT

Jan 2, 2001

US-PAT-NO: 6170015

DOCUMENT-IDENTIFIER: US 6170015 B1

TITLE: Network apparatus with Java co-processor

DATE-ISSUED: January 2, 2001

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Lavian; Tal I. Sunnyvale CA

US-CL-CURRENT: 709/232; 709/223, 709/224, 709/235, 709/238

#### ABSTRACT:

A method and apparatus for automatically configuring a network switch having external network data ports, a processor, memory, data bus, and coprocessor. Network data is monitored on the external network data port. Information about the network data traffic is compared to one or more threshold conditions. The network switch is automatically configured by the coprocessor if the network data meets one of the threshold conditions. The monitor and configuration functions can be performed by software running on the coprocessor which has been downloaded from an external network maintenance station through a maintenance data port. Information about the network data traffic can be uploaded to the external network maintenance station through a maintenance data port.

16 Claims, 15 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 9

Full	Title	Citation	Front	Review	Classi	fication	Date	Reference	Sec	quences	Attach	ments	Claims	KWIC	Drawi De
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## **Search Results** - Record(s) 1 through 15 of 15 returned.

☐ 1. Document ID: US 6865735 B1

L5: Entry 1 of 15 File: USPT

Mar 8, 2005

US-PAT-NO: 6865735

DOCUMENT-IDENTIFIER: US 6865735 B1

TITLE: Process for rewriting executable content on a network server or desktop

machine in order to enforce site specific properties

DATE-ISSUED: March 8, 2005

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Sirer; Emin Gun Seattle WA Bershad; Brian N. Seattle WA

US-CL-CURRENT: 717/158; 714/38, 717/128, 718/108

### ABSTRACT:

A program or program snippet is rewritten to conform to site-specific properties prior to being executed by a target host. The program or program snippet directed to a target host from a known or unknown source is either intercepted by a server before reaching the target host or can be redirected from the target host to the server to effect its rewriting. The program is parsed in its external representation, converting it to an internal representation that is inspected and analyzed with reference to a site-specific properties database. A summary of the program's properties is then compared to the site-specific properties database by a binary rewriting engine, which produces a rewritten program in an internal representation. If appropriate, the program or program snippet is rewritten to convert it to a format suitable for execution on the target host. Furthermore, certifications may be added to the rewritten program to mark that the rewritten program obeys site-specific constraints. The rewriting service thus produces a program in an appropriate target representation that conforms to site-specific properties. These properties may relate to security, auditing, optimization, monitoring, threading, and/or management of the rewritten program.

49 Claims, 6 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 4

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Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Affachments	Claims	KWIC	Drawt De
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Record List Display Page 2 of 13

### ☐ 2. Document ID: US 6850979 B1

L5: Entry 2 of 15 File: USPT Feb 1, 2005

US-PAT-NO: 6850979

DOCUMENT-IDENTIFIER: US 6850979 B1

TITLE: Message gates in a distributed computing environment

DATE-ISSUED: February 1, 2005

### INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY Saulpaugh; Thomas E. San Jose CA Slaughter; Gregory L. Palo Alto CA Traversat; Bernard A. San Francisco CA Abdelaziz; Mohamed M. Santa Clara CA

US-CL-CURRENT: <u>709/225</u>; <u>710/240</u>, <u>713/201</u>, <u>719/315</u>

#### ABSTRACT:

Embodiments of message gates are described. A message gate is the message endpoint for a client or service in a distributed computing environment. A message gate may provide a secure endpoint that sends and receives type-safe messages. Gates may perform the sending and receiving of messages between clients and services using a protocol specified in a service advertisement. In one embodiment, the messages are eXtensible Markup Language (XML) messages. For a client, a message gate represents the authority to use some or all of a service's capabilities. Each capability may be expressed in terms of a message that may be sent to the service. Creation of a message gate may involve an authentication service that generates an authentication credential, and that may negotiate the desired level of security and the set of messages that may be passed between client and service. A message gate may perform verification of messages against a message schema to ensure that the messages are allowed. Message gates may embed the authentication credential in outgoing messages so that the receiving message gate may authenticate the message. Messages may also include information to allow the receiving gate to verify that the message has not been compromised prior to receipt.

40 Claims, 54 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 34

1	Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC	Drawd De

☐ 3. Document ID: US 6792466 B1

L5: Entry 3 of 15 File: USPT Sep 14, 2004

US-PAT-NO: 6792466

DOCUMENT-IDENTIFIER: US 6792466 B1

TITLE: Trusted construction of message endpoints in a distributed computing

Record List Display Page 3 of 13

environment

DATE-ISSUED: September 14, 2004

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Saulpaugh; Thomas E. San Jose CA Slaughter; Gregory L. Palo Alto CA Traversat; Bernard A. San Francisco CA Pouyoul; Eric San Francisco CA

US-CL-CURRENT: 709/229; 707/10, 709/201, 709/203, 709/217, 709/218, 709/226,

709/227, 709/228

## ABSTRACT:

In a distributed computing environment, a message gate may be the message endpoint for a client or service to communicate with another client or service. Devices may have a gate factory (e.g. message endpoint constructor) that is trusted code on the device for generating gates based on XML message descriptions. The use of the gate factory may ensure that the gate it generates is also trusted code, and that the code is correct with respect to a service advertisement. A service advertisement may indicate, for a particular service, a message schema, service URI and authentication service URI. In one embodiment, the pieces the gate factory needs to construct a gate are the XML schema of the service and the URI of the service. In another embodiment, an authentication credential may also be obtained and used in gate construction by running an authentication service specified in the service advertisement. A gate factory for a device may generate gate code that may incorporate the language, security, type safety, and/or execution environment characteristics of the local device platform. By constructing gates itself, a device has the ability to ensure that the generated gate code is relatively bugfree, produces only valid data, and provides type-safety.

43 Claims, 53 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 34

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC	Drawi De

☐ 4. Document ID: US 6789204 B2

L5: Entry 4 of 15 File: USPT Sep 7, 2004

US-PAT-NO: 6789204

DOCUMENT-IDENTIFIER: US 6789204 B2

TITLE: Resource sharing on the internet via the HTTP

DATE-ISSUED: September 7, 2004

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Record List Display Page 4 of 13

Abdelnur; Alejandro Sunnyvale CA Gupta; Abhay Milpitas CA Callaghan; Brent Mountain View CA

US-CL-CURRENT: 713/201; 709/229

### ABSTRACT:

A method and apparatus for sharing resources in a network environment. An application running on a client can access a resource on a remote computer by submitting a request via an Internet browser. The request is analyzed, converted to proper format and is transferred over the network lines to a server that can satisfy the request. For security reasons, an application may not be authorized to submit a request directly to a server on the Internet. If a requesting application has a trusted status, then its request for connecting to the server is granted. If a request submitted by an application to a server is denied, then a server that entrusts the application is identified, and the request is submitted to that server. A program code called a "servlet" is implemented on that server to accept the requests submitted by a trusted application. The submitted requests are analyzed by the servlet and are forwarded to a resource server that can satisfy the requests. A response from the resource server is routed through the servlet back to the requesting application.

39 Claims, 7 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 7

Full	Title	Citation	Front	Review	Classification	Date	Reference	Scopend's	eirantans:	Claims	KWIC	Draw, De
П	5.	Docume	nt ID:	US 66	75382 B1							

File: USPT

Jan 6, 2004

US-PAT-NO: 6675382

L5: Entry 5 of 15

DOCUMENT-IDENTIFIER: US 6675382 B1

TITLE: Software packaging and distribution system

DATE-ISSUED: January 6, 2004

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Foster; Gary D. Santa Clara CA

US-CL-CURRENT: 717/177; 707/1, 707/10, 717/169, 717/170, 717/172, 717/175

### ABSTRACT:

A method and apparatus for packaging and distributing software. Embodiments of the invention comprise a software packaging system that is portable across many platforms. Each package is self-contained in form of a single-file entity that comprises a payload file and a control file. The payload file is an archive file that contains a compressed collection of all the software files that are required

Record List Display Page 5 of 13

for installation of the software package. The control file includes the necessary information for installation of the files contained in the payload file, in addition to other descriptive information used to determine the size, type, location of storage, and other useful attributes of a software package, even before it is installed on a system. Security measures have been implemented in the system to detect a package the contents of which have been tampered with. Embodiments of the invention can be utilized to install packaged software that is accessible via the Internet. A package on a remote source can be accessed and installed using a Uniform Resource Locator (URL) that indicates the package's specific address on the remote source. Embodiments of the invention are designed such that the entire system is small in size so that the storage space and the transmission bandwidth required for their storage or transportation are minimized. Embodiments of the invention may be used to install, remove or update a software package.

5 Claims, 6 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 5

## Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims KMC Draw De

☐ 6. Document ID: US 6668325 B1

L5: Entry 6 of 15

File: USPT

Dec 23, 2003

US-PAT-NO: 6668325

DOCUMENT-IDENTIFIER: US 6668325 B1

\*\* See image for Certificate of Correction \*\*

TITLE: Obfuscation techniques for enhancing software security

DATE-ISSUED: December 23, 2003

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY
Collberg; Christian Sven Auckland NZ
Thomborson; Clark David Auckland NZ
Low; Douglas Wai Kok Auckland NZ

US-CL-CURRENT: 713/194; 713/200

### ABSTRACT:

The present invention provides obfuscation techniques for enhancing software security. In one embodiment, a method for obfuscation techniques for enhancing software security includes selecting a subset of code (e.g., compiled source code of an application) to obfuscate, and obfuscating the selected subset of the code. The obfuscating includes applying an obfuscating transformation to the selected subset of the code. The transformed code can be weakly equivalent to the untransformed code. The applied transformation can be selected based on a desired level of security (e.g., resistance to reverse engineering). The applied transformation can include a control transformation that can be creating using opaque constructs, which can be constructed using aliasing and concurrency techniques. Accordingly, the code can be obfuscated for enhanced software security based on a desired level of obfuscation (e.g., based on a desired potency,

Record List Display Page 6 of 13

resilience, and cost).

171 Claims, 55 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 27

Full Title Citation Front Review Classification Date Reference **Sequences Attachments** Claims KWIC Draw, De

☐ 7. Document ID: US 6546546 B1

L5: Entry 7 of 15

File: USPT

Apr 8, 2003

US-PAT-NO: 6546546

DOCUMENT-IDENTIFIER: US 6546546 B1

TITLE: Integrating operating systems and run-time systems.

DATE-ISSUED: April 8, 2003

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Van Doorn; Leendert Peter Valhalla NY

US-CL-CURRENT: <u>717/114</u>

### ABSTRACT:

The Virtual Machine is viewed by many as inherently insecure despite all the efforts to improve its <u>security</u>. This invention provides methods, apparatus, and computer products to implement a system that provides operating system style protection for code. Although applicable to many language systems, the invention is described for a system employing the <u>Java</u> language. Hardware protection domains are used to separate <u>Java</u> classes, provide access control on cross domain method invocations, efficient data sharing between protection domains, and memory and CPU resource control. Apart from the performance impact, these <u>security</u> measures are all transparent to the <u>Java</u> programs, even when a subclass is in one domain and its superclass is in another, when they do not violate the policy. To reduce the performance impact, classes are grouped and shared between protection domains and map data lazily as it is being shared. The system has been implemented on top of the Paramecium operating system used as an example of an extensible operating system application.

59 Claims, 7 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 7

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC	Draw, De

□ 8. Document ID: US 6393569 B1

L5: Entry 8 of 15

File: USPT

May 21, 2002

Record List Display Page 7 of 13

US-PAT-NO: 6393569

DOCUMENT-IDENTIFIER: US 6393569 B1

TITLE: Secured system for accessing application services from a remote station

DATE-ISSUED: May 21, 2002

INVENTOR - INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Orenshteyn; Alexander S. Reno NV 89509

US-CL-CURRENT: 713/201; 709/203

### ABSTRACT:

A secured system for accessing application services from at least one application program where at least one client station having low-level application independent logics stored therein and at least one controller for controlling the low-level application independent logics, the low-level application logics including a user interface logic, a device control logic for controlling devices, a file system logic, and a communication interface logic, and wherein at least one client station has means to restrict access to said application independent logics, at least one application server having high-level application logic stored in a server device for running at least one application program, the server device being coupled to said at least one application server and low-level interface between said at least one client station and said at least one server for connecting said at least one client station to said at least one application server, wherein upon accessing by said at least one client station, said at least one application server runs at least one application program which selectively controls said low-level application independent logics for controlling devices of said at least one client station and accessing data of said at least one client station without permanently storing said at least one client station data in said at least one server. There is also a description of a secure operating system and method and a secured system and method of construction of a computer system as well as description of system and method of how to preserve a running current state of an application program for security and relocation purpose.

26 Claims, 9 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 8

Full Title Citation Front Review Classification Date Reference Sequence	s Attachments Claims KMC Draw D

□ 9. Document ID: US 6370573 B1

L5: Entry 9 of 15 File: USPT Apr 9, 2002

US-PAT-NO: 6370573

DOCUMENT-IDENTIFIER: US 6370573 B1

TITLE: System, method and article of manufacture for managing an environment of a development architecture framework

DATE-ISSUED: April 9, 2002

Record List Display Page 8 of 13

INVENTOR - INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Bowman-Amuah; Michel K. Colorado Springs CO

US-CL-CURRENT: 709/223

#### ABSTRACT:

A system, method and article of manufacture are provided for managing an environment in a development architecture framework. Service of a system is managed based on service level agreements and/or operations level agreements. A plurality of system management operations are performed. The system management operations include start-up and shut-down operations, back-up and restore operations, archiving operations, security operations, and performance monitoring operations. Service is planned in order to anticipate and implement changes in the system.

15 Claims, 14 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 14

Ì	Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC	Draw, De

☐ 10. Document ID: US 6324647 B1

L5: Entry 10 of 15 File: USPT Nov 27, 2001

US-PAT-NO: 6324647

DOCUMENT-IDENTIFIER: US 6324647 B1

\*\* See image for Certificate of Correction \*\*

TITLE: System, method and article of manufacture for security management in a development architecture framework

DATE-ISSUED: November 27, 2001

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Bowman-Amuah; Michel K. Colorado Springs CO 80918

US-CL-CURRENT: <u>713/201</u>; <u>709/223</u>, <u>713/153</u>

### ABSTRACT:

A system, method, and article of manufacture are provided for providing <u>security</u> management in a development architecture framework. Unauthorized attempts to access a network are detected and when an unauthorized attempt to access the network is detected, a user is notified. Access from the network is restricted to a separate wide area network. The identities of users of credit cards are verified during transactions carried out over the network. The content of electronic mail communicated over the network is also monitored so that the communication of the electronic mail over the network is prevent when the content thereof being deemed inappropriate. The electronic mail is also encrypted during the communication thereof over the network.

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18 Claims, 14 Drawing figures Exemplary Claim Number: 7 Number of Drawing Sheets: 15

Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims KWIC Draw. De

☐ 11. Document ID: US 6275938 B1

L5: Entry 11 of 15

File: USPT

Aug 14, 2001

US-PAT-NO: 6275938

DOCUMENT-IDENTIFIER: US 6275938 B1

TITLE: Security enhancement for untrusted executable code

DATE-ISSUED: August 14, 2001

INVENTOR-INFORMATION:

NAME

CITY

STATE

ZIP CODE

COUNTRY

Bond; Barry Bharati; Sudeep Renton Bellevue WA WA

US-CL-CURRENT: 713/200; 713/201, 713/202, 714/38, 714/53, 714/55

### ABSTRACT:

Untrusted executable code programs (applets or controls) are written in native, directly executable code. The executable code is loaded into a pre-allocated memory range (sandbox) from which references to outside memory are severely restricted by checks (sniff code) added to the executable code. Conventional application-program interface (API) calls in the untrusted code are replaced with translation-code modules (thunks) that allow the executable code to access the host operating system, while preventing breaches of the host system's security. Static links in the code are replaced by calls to thunk modules. When an API call is made during execution, control transfers to the thunk, which determines whether the API call is one which should be allowed to execute on the operating system.

20 Claims, 4 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 4

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Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC	Drawt De

☐ 12. Document ID: US 6272641 B1

L5: Entry 12 of 15

File: USPT

Aug 7, 2001

US-PAT-NO: 6272641

DOCUMENT-IDENTIFIER: US 6272641 B1

TITLE: Computer network malicious code scanner method and apparatus

Record List Display Page 10 of 13

DATE-ISSUED: August 7, 2001

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Ji; Shuang Santa Clara CA

US-CL-CURRENT: 713/201; 380/252, 713/154

### ABSTRACT:

A network scanner for <u>security</u> checking of application programs (e.g. <u>Java</u> applets or Active X controls) received over the Internet or an Intranet has both static (pre-run time) and dynamic (run time) scanning. Static scanning at the HTTP proxy server identifies suspicious instructions and instruments them e.g. a pre-and-post filter instruction sequence or otherwise. The instrumented applet is then transferred to the client (web browser) together with <u>security</u> monitoring code. During run time at the client, the instrumented instructions are thereby monitored for <u>security</u> policy violations, and execution of an instruction is prevented in the event of such a violation.

27 Claims, 2 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 2

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sentero-9	Claims	KWIC	Draw, De
				<u> </u>							

☐ 13. Document ID: US 6212640 B1

L5: Entry 13 of 15 File: USPT Apr 3, 2001

US-PAT-NO: 6212640

DOCUMENT-IDENTIFIER: US 6212640 B1

TITLE: Resources sharing on the internet via the HTTP

DATE-ISSUED: April 3, 2001

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Abdelnur; Alejandro Sunnyvale CA
Gupta; Abhay Milpitas CA
Callaghan; Brent Mountain View CA

US-CL-CURRENT: 713/201

### ABSTRACT:

A method and apparatus for sharing resources in a network environment. Typically, a computer linked to the Internet may have resources or may provide services, that are usable by other computers. A user, using one or more embodiments of the invention, can access those resources or services as if they were locally situated. An application running on a client can access a resource on a remote computer by

Record List Display Page 11 of 13

submitting a request via an Internet browser. The request is analyzed, converted to proper format and is transferred over the network lines to a server that can satisfy the request. For security reasons, an application may not be authorized to submit a request directly to a server on the Internet. For example, limitations have been implemented that prohibit a requesting application from obtaining access to resources of a server computer unless that application is a trusted application. If a requesting application has a trusted status, then its request for connecting to the server is granted. If a request submitted by an application to a server is denied, then a server that entrusts the application is identified, and the request is submitted to that server. A program code called a "servlet" is implemented on that server to accept the requests submitted by a trusted application. The submitted requests are analyzed by the servlet and are forwarded to a resource server that can satisfy the requests. A response from the resource server is routed through the servlet back to the requesting application.

51 Claims, 7 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 7

Full	Title	Citation	Front	Review	Classification	Date	Reference	Scott Francisco	Windshift .	Claims	KWIC	Draw, De
										·		

☐ 14. Document ID: US 6092120 A

L5: Entry 14 of 15

File: USPT

Jul 18, 2000

US-PAT-NO: 6092120

DOCUMENT-IDENTIFIER: US 6092120 A

TITLE: Method and apparatus for timely delivery of a byte code and serialized

objects stream

DATE-ISSUED: July 18, 2000

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Swaminathan; Viswanathan Union City CA Fernando; Gerard Mountain View CA Speer; Michael Mtn View CA

US-CL-CURRENT: 709/247; 709/231, 709/236

### ABSTRACT:

A method and apparatus for timely delivery of classes and objects is provided. A header comprising timing information is attached to said classes and/or objects. A "start loading" time and a "load by" time are specified in the header. Other classes and/or objects to be loaded are also specified in the header. Optional compression, security, and/or error resilience schemes are also specified in the header. A process for creating the header and attaching it to a class or object is provided. A process for receiving and processing a class or object with an attached header is provided. Embodiments of the invention allow timely delivery of classes and/or objects over a wide variety of transport mechanisms, including unreliable transport mechanisms and those lacking any guarantees of timely delivery.

Record List Display Page 12 of 13

23 Claims, 9 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 9

Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims KMC Draw De

☐ 15. Document ID: US 5983348 A

L5: Entry 15 of 15

File: USPT

Nov 9, 1999

US-PAT-NO: 5983348

DOCUMENT-IDENTIFIER: US 5983348 A

TITLE: Computer network malicious code scanner

DATE-ISSUED: November 9, 1999

INVENTOR-INFORMATION:

NAME CITY

STATE

ZIP CODE

COUNTRY

Ji; Shuang

Santa Clara

CA

US-CL-CURRENT: <u>713</u>/<u>200</u>; <u>714</u>/<u>38</u>

### ABSTRACT:

A network scanner for <u>security</u> checking of application programs (e.g. <u>Java</u> applets or Active X controls) received over the Internet or an Intranet has both static (pre-run time) and dynamic (run time) scanning. Static scanning at the HTTP proxy server identifies suspicious instructions and instruments them e.g. a pre-and-post filter instruction sequence or otherwise. The instrumented applet is then transferred to the client (web browser) together with <u>security</u> monitoring code. During run time at the client, the instrumented instructions are thereby monitored for <u>security</u> policy violations, and execution of an instruction is prevented in the event of such a violation.

34 Claims, 2 Drawing figures Exemplary Claim Number: 34 Number of Drawing Sheets: 2

Full	Title   Citation	Front Review	Classification	Date Reference	Sequences Attachm	ents Claims KMC Draw De
Clear	Gener	ate Collection	Print	Fwd Refs	Blawd Refs	Generate OACS
	Terms				Documents	
	L4 AND se	curity.ab.				15

Display Format: REV Change Format

Previous Page Next Page Go to Doc#

WEST Refine Search Page 1 of 2

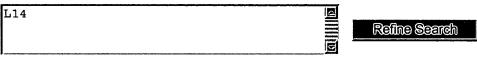
# **Refine Search**

## Search Results -

Terms	Documents
L4 AND javacard	5

US Pre-Grant Publication Full-Text Database
US Patents Full-Text Database
US OCR Full-Text Database
US OCR Full-Text Database
EPO Abstracts Database
JPO Abstracts Database
Derwent World Patents Index
IBM Technical Disclosure Bulletins

Search:







## Search History

## DATE: Monday, March 14, 2005 Printable Copy Create Case

Set Name side by side	Query	<u>Hit</u> <u>Count</u>	Set Name result set
DB =	EUSPT; PLUR=NO; OP=OR		
<u>L14</u>	14 AND javacard	5	<u>L14</u>
<u>L13</u>	L12 and (JUMP or JMP)	25	<u>L13</u>
<u>L12</u>	L11 and L10	204	<u>L12</u>
<u>L11</u>	L9 AND L3	204	<u>L11</u>
<u>L10</u>	L9 AND L4	269	<u>L10</u>
<u>L9</u>	717/\$\$\$.cclsOR 713/\$\$\$.ccls.	13568	<u>L9</u>
<u>L8</u>	L7 AND L6	0	<u>L8</u>
<u>L7</u>	L5 AND monitor.ab.	15	<u>L7</u>
<u>L6</u>	L5 AND security.ab.	15	<u>L6</u>
<u>L5</u>	L4 and ((byte ADJ code) OR (bytecode))	525	<u>L5</u>
<u>L4</u>	java and monitor	4280	<u>L4</u>
<u>L3</u>	java and security and monitor	1831	<u>L3</u>
<u>L2</u>	java near security near monitor	0	<u>L2</u>

6092120.pn. or 5974549.pn. or 6023764.pn. or 6199181.pn. or 6275938.pn. or 6802054.pn. or 6557168.pn. or 6557168.pn. or 6510352.pn. or 6327700.pn. or 6668325.pn. or 6546546.pn. or 5983348.pn.

12 <u>L1</u>

END OF SEARCH HISTORY

## **Hit List**

Generate Collection Clear Print: Fwd Refs Generate OACS

## **Search Results** - Record(s) 1 through 12 of 12 returned.

☑ 1. Document ID: US 6802054 B2

L1: Entry 1 of 12

File: USPT

Oct 5, 2004

US-PAT-NO: 6802054

DOCUMENT-IDENTIFIER: US 6802054 B2

TITLE: Generation of runtime execution traces of applications and associated

problem determination

DATE-ISSUED: October 5, 2004

INVENTOR-INFORMATION:

NAME

CITY

ZIP CODE STATE

COUNTRY

Faraj; Mazen

North York

CA

US-CL-CURRENT: 717/128; 714/45

### ABSTRACT:

A computer system for generating and analyzing application trace data includes a monitor for launching Java language virtual machines using the Java Platform Debug Architecture to enable the virtual machines to generate event data on the occurrence of specified events during application execution on the virtual machines. The event data is placed on an event queue and the monitor removes the event data from the event queue for forwarding to a logging service. The logging service records the event data in a trace file. A set of problem determination tools use defined product description, and the trace file data to provide an analysis to a user based on a defined level of analysis selected by the user from product, component, code and logical levels of analysis.

25 Claims, 1 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 1

1	Full	Title	Citation	Front	Review	Classification	Date	Reference	<b>不知识的情况</b> 。	规制流标准	Claims	KWIC	Draw, De
•													

☑ 2. Document ID: US 6668325 B1

L1: Entry 2 of 12

File: USPT

Dec 23, 2003

US-PAT-NO: 6668325

DOCUMENT-IDENTIFIER: US 6668325 B1

\*\* See image for Certificate of Correction \*\*

Record List Display Page 2 of 10

TITLE: Obfuscation techniques for enhancing software security

DATE-ISSUED: December 23, 2003

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Collberg; Christian Sven Auckland NZ
Thomborson; Clark David Auckland NZ
Low; Douglas Wai Kok Auckland NZ

US-CL-CURRENT: <u>713/194</u>; <u>713/200</u>

### ABSTRACT:

The present invention provides obfuscation techniques for enhancing software security. In one embodiment, a method for obfuscation techniques for enhancing software security includes selecting a subset of code (e.g., compiled source code of an application) to obfuscate, and obfuscating the selected subset of the code. The obfuscating includes applying an obfuscating transformation to the selected subset of the code. The transformed code can be weakly equivalent to the untransformed code. The applied transformation can be selected based on a desired level of security (e.g., resistance to reverse engineering). The applied transformation can include a control transformation that can be creating using opaque constructs, which can be constructed using aliasing and concurrency techniques. Accordingly, the code can be obfuscated for enhanced software security based on a desired level of obfuscation (e.g., based on a desired potency, resilience, and cost).

171 Claims, 55 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 27

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Full Title Citation Front F	Review Classification Date	Reference Sequences Affachments Claims	KMC Draw, De

☑ 3. Document ID: US 6557168 B1

L1: Entry 3 of 12 File: USPT Apr 29, 2003

US-PAT-NO: 6557168

DOCUMENT-IDENTIFIER: US 6557168 B1

TITLE: System and method for minimizing inter-application interference among static

synchronized methods

DATE-ISSUED: April 29, 2003

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Czajkowski; Grzegorz J. Mountain View CA

US-CL-CURRENT: <u>717/151</u>; <u>713/375</u>, <u>717/127</u>

Record List Display Page 3 of 10

### ABSTRACT:

A system and method for isolating the execution of a plurality of applications. A plurality of monitors are provided for a plurality of applications to access a static synchronized method. The applications are enabled to call the static synchronized method concurrently by accessing the static synchronized method through the plurality of monitors. A plurality of threads within one of the applications are excluded from calling the static synchronized method concurrently. The source code or bytecode for the synchronized method may be transformed by removing a method-level monitor and adding the plurality of monitors inside the method. In one embodiment, each static synchronized method is replaced with a corresponding static non-synchronized method. The applications may be further isolated by placing the static fields of shared classes into a static field class, which has one instance per utilizing application. The static non-synchronized method includes the body of the corresponding static synchronized method, wherein the body is synchronized on the instance of the static field class that corresponds to the utilizing application.

30 Claims, 11 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 11

Full Title Citation Front Review Classification Date Reference Securences Affachments Claims KWIC Draw. De

4. Document ID: US 6546546 B1

L1: Entry 4 of 12 File: USPT Apr 8, 2003

US-PAT-NO: 6546546

DOCUMENT-IDENTIFIER: US 6546546 B1

TITLE: Integrating operating systems and run-time systems

DATE-ISSUED: April 8, 2003

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Van Doorn; Leendert Peter Valhalla NY

US-CL-CURRENT: <u>717/114</u>

### ABSTRACT:

The Virtual Machine is viewed by many as inherently insecure despite all the efforts to improve its security. This invention provides methods, apparatus, and computer products to implement a system that provides operating system style protection for code. Although applicable to many language systems, the invention is described for a system employing the Java language. Hardware protection domains are used to separate Java classes, provide access control on cross domain method invocations, efficient data sharing between protection domains, and memory and CPU resource control. Apart from the performance impact, these security measures are all transparent to the Java programs, even when a subclass is in one domain and its superclass is in another, when they do not violate the policy. To reduce the performance impact, classes are grouped and shared between protection domains and

Record List Display Page 4 of 10

map data lazily as it is being shared. The system has been implemented on top of the Paramecium operating system used as an example of an extensible operating system application.

59 Claims, 7 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 7

Full Title Citation Front Review Classification Date Reference Seguences Attachments Claims KWIC Draw. De

☑ 5. Document ID: US 6510352 B1

L1: Entry 5 of 12

File: USPT

Jan 21, 2003

US-PAT-NO: 6510352

DOCUMENT-IDENTIFIER: US 6510352 B1

TITLE: Methods and apparatus for object-based process control

DATE-ISSUED: January 21, 2003

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Badavas; Paul C. Southboro MA

Hansen; Peter D. Wellesley MA

US-CL-CURRENT: 700/19; 700/18, 700/20, 700/48, 700/49, 700/50, 700/52, 718/100

## ABSTRACT:

The provides improved control devices, systems and methods for operation thereof. These rely on control devices that provide virtual machine environments in which Java objects, or other such software constructs, are executed to implement control (e.g., to monitor and/or control a device, process or system). These objects define blocks which are the basic functional unit of the control. They also define the input, output and body parts from which blocks are formed, and the signals that are communicated between blocks. The objects also define nested and composite groupings of blocks used to control loops and higher-level control functions.

72 Claims, 18 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 18

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KMC	Draw, De
	_											

L1: Entry 6 of 12 File: USPT Dec 4, 2001

US-PAT-NO: 6327700

DOCUMENT-IDENTIFIER: US 6327700 B1

Record List Display Page 5 of 10

TITLE: Method and system for identifying instrumentation targets in computer programs related to logical transactions

DATE-ISSUED: December 4, 2001

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Chen; J. Bradley Seattle WA Bershad; Brian N. Seattle WA

US-CL-CURRENT: 717/127; 717/130

### ABSTRACT:

A method and system for identifying sets of instructions within a computer program, execution of which serve as an indicator for processing of a transaction by the computer program and that together comprise a witness set. The witness set may be employed to monitor execution of the computer program and detect processing of the transaction. Witness sets are constructed by iteratively filtering an initial set of instructions based on profile data collected during execution of the computer program.

28 Claims, 4 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 4

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC	Drawt De

7. Document ID: US 6275938 B1

L1: Entry 7 of 12 File: USPT Aug 14, 2001

US-PAT-NO: 6275938

DOCUMENT-IDENTIFIER: US 6275938 B1

TITLE: Security enhancement for untrusted executable code

DATE-ISSUED: August 14, 2001

INVENTOR - INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Bond; Barry Renton WA Bharati; Sudeep Bellevue WA

US-CL-CURRENT: 713/200; 713/201, 713/202, 714/38, 714/53, 714/55

### ABSTRACT:

Untrusted executable code programs (applets or controls) are written in native, directly executable code. The executable code is loaded into a pre-allocated memory range (sandbox) from which references to outside memory are severely restricted by checks (sniff code) added to the executable code. Conventional application-program

Record List Display Page 6 of 10

interface (API) calls in the untrusted code are replaced with translation-code modules (thunks) that allow the executable code to access the host operating system, while preventing breaches of the host system's security. Static links in the code are replaced by calls to thunk modules. When an API call is made during execution, control transfers to the thunk, which determines whether the API call is one which should be allowed to execute on the operating system.

20 Claims, 4 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 4

# Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims KMC Draw De

☑ 8. Document ID: US 6199181 B1

L1: Entry 8 of 12 File: USPT Mar 6, 2001

US-PAT-NO: 6199181

DOCUMENT-IDENTIFIER: US 6199181 B1

\*\* See image for Certificate of Correction \*\*

TITLE: Method and system for maintaining restricted operating environments for application programs or operating systems

DATE-ISSUED: March 6, 2001

### INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY
Rechef; Eran Lehavim IL
Raanan; Gil Zoran IL
Solan; Eilon Herzlia IL

US-CL-CURRENT: 714/38; 713/201

### ABSTRACT:

A method for protecting an operating environment on a processor from a rogue program operating on the processor comprising isolating simultaneously executing programs or operating systems is disclosed. Memory space for use only by the first program while the first program is executing is allocated. Communication between the first program and the computer's operating environment is accomplished through a single link employing one of several methods including using shared memory space, a dedicated interrupt or a dedicated I/O port. The monitor manages a restricted operating environment for the first program on the processor, the restricted operating environment preventing the first program from accessing resources on the processor except for the allocated memory space the single communication link.

21 Claims, 10 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 9

				Attachments		

Record List Display Page 7 of 10

☑ 9. Document ID: US 6092120 A

L1: Entry 9 of 12

File: USPT

Jul 18, 2000

US-PAT-NO: 6092120

DOCUMENT-IDENTIFIER: US 6092120 A

TITLE: Method and apparatus for timely delivery of a byte code and serialized

objects stream

DATE-ISSUED: July 18, 2000

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Swaminathan; Viswanathan Union City CA
Fernando; Gerard Mountain View CA
Speer; Michael Mtn View CA

US-CL-CURRENT: 709/247; 709/231, 709/236

### ABSTRACT:

A method and apparatus for timely delivery of classes and objects is provided. A header comprising timing information is attached to said classes and/or objects. A "start loading" time and a "load by" time are specified in the header. Other classes and/or objects to be loaded are also specified in the header. Optional compression, security, and/or error resilience schemes are also specified in the header. A process for creating the header and attaching it to a class or object is provided. A process for receiving and processing a class or object with an attached header is provided. Embodiments of the invention allow timely delivery of classes and/or objects over a wide variety of transport mechanisms, including unreliable transport mechanisms and those lacking any guarantees of timely delivery.

23 Claims, 9 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 9

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC	Draw, De

☑ 10. Document ID: US 6023764 A

L1: Entry 10 of 12

File: USPT

Feb 8, 2000

US-PAT-NO: 6023764

DOCUMENT-IDENTIFIER: US 6023764 A

TITLE: Method and apparatus for providing security certificate management for Java

Applets

DATE-ISSUED: February 8, 2000

Record List Display Page 8 of 10

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Curtis; Bryce Allen Round Rock TX

US-CL-CURRENT: 713/200

#### ABSTRACT:

The present invention defines a means for establishing a secure connection between a Java Applet and a secure web server for protocols other than Https via the use of a Java Security Service. More specifically, the present invention uses the web browser's installed certificates to setup and establish an encrypted session between the Java Applet and the secure web server. The secure connection is then used to retrieve the certificates required by the Java security service.

15 Claims, 5 Drawing figures Exemplary Claim Number: 1 Number of Drawing Sheets: 4

Full	Title	Citation	Front	Review	Classification	Date	Reference	SAMERE	<b>河南加州</b>	Claims	KWIC	Draw, De

☑ 11. Document ID: US 5983348 A

L1: Entry 11 of 12 File: USPT Nov 9, 1999

US-PAT-NO: 5983348

DOCUMENT-IDENTIFIER: US 5983348 A

TITLE: Computer network malicious code scanner

DATE-ISSUED: November 9, 1999

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Ji; Shuang Santa Clara CA

US-CL-CURRENT: <u>713/200;</u> <u>714/38</u>

## ABSTRACT:

A network scanner for security checking of application programs (e.g. Java applets or Active X controls) received over the Internet or an Intranet has both static (pre-run time) and dynamic (run time) scanning. Static scanning at the HTTP proxy server identifies suspicious instructions and instruments them e.g. a pre-and-post filter instruction sequence or otherwise. The instrumented applet is then transferred to the client (web browser) together with security monitoring code. During run time at the client, the instrumented instructions are thereby monitored for security policy violations, and execution of an instruction is prevented in the event of such a violation.

34 Claims, 2 Drawing figures Exemplary Claim Number: 34 Number of Drawing Sheets: 2



☑ 12. Document ID: US 5974549 A

L1: Entry 12 of 12

File: USPT

Oct 26, 1999

COUNTRY

US-PAT-NO: 5974549

DOCUMENT-IDENTIFIER: US 5974549 A

TITLE: Security monitor

DATE-ISSUED: October 26, 1999

INVENTOR-INFORMATION:

NAME CITY STATE

STATE ZIP CODE CO

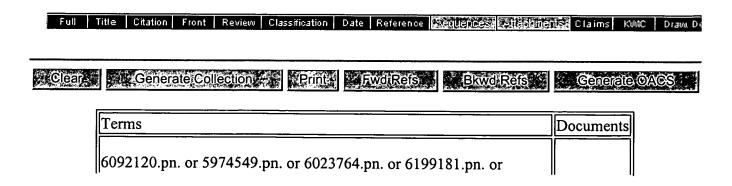
Golan; Gilad Ramat Hasharon IL

US-CL-CURRENT: 713/200; 714/47

### ABSTRACT:

The present invention is a method of creating a secure sandbox within which a plurality of downloaded software components can execute in a secure manner. The software components can be of any type, e.g., Java, ActiveX, Netscape plugin, etc. The invention implements a security monitor that is injected to the address space of an arbitrary monitored application such as a Web browser, e.g., Internet Explorer, Netscape Navigator, etc. The monitored application then executes in a secure mode in which every software component downloaded executes in a secure sandbox. The security monitor detects when such a software component is downloaded and is operative to create the sandbox around it before it is permitted to execute. If the software component attempts to commit an action that breaches security, it halts the software component's execution and issues a warning to the user. The security monitor detects attempted security breaches by the software component in accordance with a user configurable security policy. Such a policy may include limiting file read/write access, access to directories, disk access, creation and the reading/writing of network connections, access to system resources and services and access to the address spaces of other processes.

19 Claims, 15 Drawing figures Exemplary Claim Number: 12 Number of Drawing Sheets: 14



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